



Health Survey for England



Volume 1 Health, social care and lifestyles

A survey carried out on behalf of the Health and Social Care Information Centre

Joint Health Surveys Unit

NatCen Social Research that works for society



Health Survey for England 2013

Volume 1

Health, social care and lifestyles



Volume 1

Health, social care and lifestyles

Edited by Rachel Craig and Jennifer Mindell

Principal authors Gary Boodhna, Sally Bridges, Robin Darton, Sue Faulding, Catherine Henderson, Jennifer Mindell, Alison Moody, Linda Ng Fat, Caireen Roberts, Alice Ryley, Shaun Scholes, Joanne Thompson, Laura Weston, Raphael Wittenberg

> Joint Health Surveys Unit NatCen Social Research Department of Epidemiology and Public Health, UCL

THE HEALTH AND SOCIAL CARE INFORMATION CENTRE



hscic Health & Social Care Information Centre

Published by the Health and Social Care Information Centre.

The summary booklet and full text of this publication has been made available to you on the Internet at www.hscic.gov.uk/pubs/hse2013

For queries about the report:

Mail, telephone & e-mail

The Health and Social Care Information Centre 1 Trevelyan Square, Boar Lane, Leeds LS1 6AE General enquiries: 0300 303 5678 E-mail: enquiries@hscic.gov.uk

HSCIC Responsible Statistician Alison Neave, Statistician, Surveys Team Contact via enquiries@hscic.gov.uk , tel: 0300 303 5678

For hard copy requests:

Mail, telephone & e-mail Enquiries NatCen Social Research 35 Northampton Square, London EC1V 0AX Telephone orders/General enquiries: 020 7250 1866 E-mail: info@natcen.ac.uk

Copyright © 2014, the Health and Social Care Information Centre. All rights reserved.

All data from studies earlier than 2004 re-used with permission of the Department of Health.

This work remains the sole and exclusive property of the Health and Social Care Information Centre and may only be reproduced where there is explicit reference to the ownership of the Health and Social Care Information Centre.

This work may only be reproduced in a modified format with the express written permission of the Health and Social Care Information Centre.

First published 10 December 2014.

Designed by Davenport Associates

Contents

Volume 1: Health, social care and lifestyles

Foreword Editors' acknowledgements Notes

1 Introduction

- 1.1 The Health Survey for England series
- 1.2 The 2013 survey
- 1.3 Ethical approval
- 1.4 2013 survey design
- 1.5 Survey response
- 1.6 Data analysis
- 1.7 Content of this report

References and notes

2 Social care: need for and receipt of help Joanne Thompson, Raphael Wittenberg,

Catherine Henderson, Robin Darton

- 2.1 Introduction
- 2.2 Methods and definitions
- 2.3 Need for and receipt of care among older adults
- 2.4 Informal and formal helpers
- 2.5 Patterns of care
- 2.6 Receipt of direct payments and personal budgets
- 2.7 Aids and equipment
- 2.8 Discussion

References and notes

Tables

3 Eye care Alice Ryley

- 3.1 Introduction
- 3.2 Methods and definitions
- 3.3 Self-reported eyesight
- 3.4 Prevalence of certification as blind or partially sighted
- 3.5 Prevalence of major eye conditions and surgery
- 3.6 Eyesight tests
- 3.7 Disability associated with eyesight
- 3.8 Discussion
- References and notes

Tables

4 End of life care Sally Bridges

- 4.1 Introduction
- 4.2 Methods and definitions
- 4.3 Experience of anyone close dying in the last five years
- 4.4 Level and frequency of care provided
- 4.5 Impact of providing end of life care
- 4.6 Discussion

References and notes Tables

5 Use of prescribed medicines Shaun Scholes, Sue Faulding, Jennifer Mindell

- 5.1 Introduction
- 5.2 Methods and definitions
- 5.3 Use of any prescribed medicines in the last week
- 5.4 Prevalence of having taken at least one medicine within specific prescribed medicine classes
- 5.5 Discussion

References and notes

Tables

6 Shift work Laura Weston

- 6.1 Introduction
- 6.2 Methods and definitions
- 6.3 Shift work prevalence
- 6.4 Shift work and health
- 6.5 Shift work and lifestyle factors
- 6.6 Factors associated with fair or bad health among shift workers
- 6.7 Discussion

References and notes

Tables

7 Fruit and vegetable consumption Caireen Roberts

- 7.1 Introduction
- 7.2 Methods and definitions
- 7.3 Fruit and vegetable consumption for adults
- 7.4 Fruit and vegetable consumption for children
- 7.5 Discussion
- References and notes

Tables

8 Adult cigarette smoking Linda Ng Fat

- 8.1 Introduction
- 8.2 Methods and definitions
- 8.3 Smoking status
- 8.4 Intentions to give up smoking
- 8.5 Use of nicotine delivery products
- 8.6 Exposure to second hand smoke
- 8.7 Saliva cotinine levels
- 8.8 Discussion
- References and notes

Tables

9 Children's smoking and exposure to others' smoke Shaun Scholes

- 9.1 Introduction
- 9.2 Methods and definitions
- 9.3 Smoking prevalence
- 9.4 Reported secondhand smoke exposure
- 9.5 Objectively measured secondhand smoke exposure
- 9.6 Use of non-tobacco nicotine delivery products including e-cigarettes
- 9.7 Discussion
- References and notes

Tables

10 Adult anthropometric measures, overweight and obesity Alison Moody

- 10.1 Introduction
- 10.2 Methods and definitions
- 10.3 Height and weight
- 10.4 Prevalence of obesity, overweight and high waist circumference
- 10.5 Health risk category with obesity, overweight and waist circumference
- 10.6 Trends in obesity and overweight
- 10.7 Health status by obesity, overweight and waist circumference
- 10.8 Discussion
- References and notes
- Tables

11 Children's BMI, overweight and obesity Gary Boodhna

- 11.1 Introduction
- 11.2 Methods and definitions
- 11.3 BMI and prevalence of obesity and overweight
- 11.4 Child and parent perceptions of weight
- 11.5 Trends in BMI, overweight and obesity
- 11.6 Discussion
- References and notes
- Tables

This report presents the findings of the twenty-third annual Health Survey for England. I am pleased to present this important research, which has been undertaken on behalf of the Health and Social Care Information Centre (HSCIC).

The Health Survey for England is an authoritative source of statistics about the health of the population, and the results are widely used within government and the health service, as well as by researchers and academics. Information from the Health Survey is used to inform policy making, monitor progress towards goals in public health and to look at changes over time.

Each year the survey collects information about a new representative sample of the general population living in private households, both adults and children. People are included whether or not they are patients being treated by the NHS. As well as collecting comprehensive details about people's health and lifestyles, the survey includes objective measures of health, such as height and weight, and blood pressure measurements. This means we can look at how people's health is related to their characteristics and circumstances, and we can identify cases where people have problems that have not been picked up by a doctor.

One of the key topics covered in this report is social care. Older people were asked about their need for help with a range of everyday activities, and whether they received the help they need. This report looks at patterns of help provided, and the aids and equipment that can support people to live independently. With an ageing population, government policy aims to help people maintain their independence in their own homes for as long as possible. The survey results provide important information to help assess the impact of social care reforms currently under way.

There are also new findings in the report on a range of health topics, including eyesight, end of life care, the use of prescribed medicines and the health of shift workers. Updates on regular topics are available, including obesity, smoking, and fruit and vegetable consumption, among both adults and children.

I would like to thank everyone who has contributed to this valuable report: colleagues in the HSCIC and our counterparts in the Joint Health Surveys Unit of NatCen Social Research and UCL; the dedicated and skilled team of interviewers and nurses; and finally the anonymous participants from across England who gave up their time to take part in the survey and various health tests. All of these contribute to produce a source of public health information of enormous value and benefit to protect and improve the health of every one of us.

Andy Williams

Chief Executive Health and Social Care Information Centre

We wish to thank, first of all, all those who gave up their time to be interviewed and who welcomed interviewers and nurses into their homes. We should also like to acknowledge the debt the survey's success owes to the commitment and professionalism of the interviewers and nurses who worked on the survey throughout the year.

We should like to thank all those colleagues who contributed to the survey and this report. In particular we would like to thank:

- The authors of all the chapters: Gary Boodhna, Sally Bridges, Robin Darton, Sue Faulding, Catherine Henderson, Jennifer Mindell, Alison Moody, Linda Ng Fat, Caireen Roberts, Alice Ryley, Shaun Scholes, Joanne Thompson, Laura Weston, Raphael Wittenberg.
- Cathy Coshall, Claire Deverill and Nick di Paolo whose hard work and support have been crucial in preparing and managing the survey data.
- The programmers, Sandra Beeson, Malisha Beg, Hannah Bridges and Sven Sjodin.
- Other research colleagues, especially Kevin Pickering, Evie Calcutt, David Hussey and Barbara Carter-Szatynska.
- Operations staff, especially Emma Fenn, Sue Roche, Megan Hodges and the Field Area Managers.

We should also like to express our thanks to Linda Wilson, Julie Day and the staff at the Department of Blood Sciences at the Royal Victoria Infirmary, Newcastle University Hospitals Trust, and to Colin Feyerabend, Mira Doig and the staff at ABS Laboratories, Welwyn Garden City, for their helpfulness and efficiency.

Last, but certainly not least, we wish to express our appreciation of the work of the staff at the Health and Social Care Information Centre at all stages of the project, and in particular the contributions made by Paul Brown, Chinyere Agu, Vicky Cooper, David Cracknell, Robert Dobson, Paul Eastwood, Jonathan Hope, Vicky Jones, Lorraine Gray, Alison Neave, Paul Niblett, Ben Pace, Gemma Ramsay, Liz Selfridge, Graham Swinton, Bethan Thomas, Steve Webster and Sam Widdowfield.

Rachel Craig, Jennifer Mindell

- 1 The data used in the report have been weighted. The weighting is described in Volume 2, Chapter 7 of this report, Methods and documentation. Both unweighted and weighted sample sizes are shown at the foot of each table. The weighted numbers reflect the relative size of each group in the population, not numbers of interviews made, which are shown by the unweighted bases.
- 2 Children's data each year have been weighted to adjust for the probability of selection, since a maximum of two children are selected in each household. This ensures that children from larger households are not under-represented. Since 2003, as for adults, non-response weighting has also been applied.
- 3 Four different non-response weights have been used: for the interview stage, for the nurse visit, and for the blood and cotinine samples.
- 4 Apart from tables showing age breakdowns, data for adults have been agestandardised for men and for women separately. This allows comparisons between groups, (such as different regions or household income categories), after adjusting for the effects of any differences in their age distributions. When comparing data for the two sexes, it should be remembered that no standardisation has been introduced to remove the effects of the sexes' different age distributions. See Volume 2, Chapter 8.4 of this report.
- 5 The following conventions have been used in tables:
 - no observations (zero value)
 - 0 non-zero values of less than 0.5% and thus rounded to zero
 - [] used to warn of small sample bases, if the unweighted base is less than 50. If a group's unweighted base is less than 30, data are normally not shown for that group.
- 6 Because of rounding, row or column percentages may not add exactly to 100%.
- 7 A percentage may be quoted in the text for a single category that aggregates two or more of the percentages shown in a table. The percentage for the single category may, because of rounding, differ by one percentage point from the sum of the percentages in the table.
- 8. Values for means, medians, centiles and standard errors are shown to an appropriate number of decimal places. Standard Error may sometimes be abbreviated to SE for reasons of space.
- 9 'Missing values' occur for several reasons, including refusal or inability to answer a particular question; refusal to co-operate in an entire section of the survey (such as the nurse visit or a self-completion questionnaire); and cases where the question is not applicable to the participant. In general, missing values have been omitted from all tables and analyses.
- 10 The group on which the figures in each table are based is stated at the upper left corner of the table.
- 11 The term 'significant' refers to statistical significance (at the 95% level) and is not intended to imply substantive importance.

Introduction

1

1.1 The Health Survey for England series

The Health Survey for England (HSE) comprises a series of annual surveys, of which the 2013 survey is the twenty third. All surveys have covered the adult population aged 16 and over living in private households in England. Since 1995, the surveys have also covered children aged 2-15 living in households selected for the survey. Since 2001, infants aged under 2 have been included as well as older children.

The HSE is part of a programme of surveys currently commissioned by the Health and Social Care Information Centre (HSCIC), and before April 2005 commissioned by the Department of Health (DH). The surveys provide regular information that cannot be obtained from other sources on a range of aspects concerning the public's health, and many of the factors that affect health. The series of Health Surveys for England was designed to:

- 1. provide annual data from nationally representative samples to monitor trends in the nation's health;
- 2. estimate the proportion of people in England who have specified health conditions;
- 3. estimate the prevalence of certain risk factors associated with these conditions;
- 4. examine differences between subgroups of the population (e.g. by age, sex or income) in their likelihood of having specified conditions or risk factors;
- 5. assess the frequency with which particular combinations of risk factors are found, and in which groups these combinations most commonly occur;
- 6. monitor progress towards selected health targets;
- 7. (since 1995) measure the height of children at different ages, replacing the National Study of Health and Growth; and
- 8. (since 1995) monitor the prevalence of overweight and obesity in children.

Each survey in the series includes core questions, and measurements such as blood pressure, anthropometric measurements and analysis of blood and saliva samples. In addition there are modules of questions on specific issues that vary from year to year. In some years, the core sample has also been augmented by an additional boosted sample from a specific population subgroup, such as minority ethnic groups, older people or children; there was no boost in 2013.

The Health Survey for England has been designed and carried out since 1994 by the Joint Health Surveys Unit of NatCen Social Research and the Research Department of Epidemiology and Public Health at UCL.

1.2 The 2013 survey

1.2.1 Topics

The focus for the 2013 survey was social care. A short module of questions on social care has been included since 2011 as part of the core, and additional funding was obtained to include the full module in 2013. This provides additional detail on tasks for which help was provided, patterns of care, payment, and aids and equipment used.

As well as core topics, further additional short modules of questions were also included, covering eyesight, end of life care, shift work, average weekly alcohol consumption, well-being, and physical activity.

Social care

A new module of social care questions was developed in 2010 with questions for older people about their need for care, receipt of care and payment for care, and questions for all adults about their provision of informal care. A 'short' version of this is now included each year in the HSE, because time within the core interview is limited. However, additional funding was obtained in 2013 to include the full module, which allows more detail about some of the core questions, and includes additional areas such as patterns of care and aids, equipment and adaptations to the home.

Social care affects the daily lives of several million people in England. Around 5 million people provide unpaid care to family and friends.¹ Some 1.6 million work in the social care sector, providing formal care.² Some 1.1 million receive care arranged by their local authority³ and at least a further 270,000 buy care privately.⁴ While those who need care and support are of all ages, many are older people needing help because of problems associated with long-term physical or mental ill-health, disability or problems relating to old age.³

Under successive governments there have been substantial developments in policy on adult social care and how it is funded. The Coalition Government published *A vision for adult social care*⁵ in 2010 and a White Paper *Caring for our future*⁶ in July 2012. Further reforms to adult social care were announced in this White Paper and enacted in the Care Act 2014. The Coalition Government also established a Commission on Funding of Care and Support, which reported in July 2011.⁷

The reforms to adult social care announced in the White Paper *Caring for our future*⁶ will have a substantial impact on the assessment of care needs, determination of eligibility for care, financing, commissioning and provision of adult social services. The reforms include the introduction of national minimum eligibility criteria from April 2015 and care accounts associated with the life-time cap on care costs from April 2016. It is expected that new responsibilities for local authorities will affect patterns of care, and it is important in this context to have robust information on the patterns of care and duration of care received by older people.

Equipment and home adaptations to aid daily living can be an important means to enable people with disabilities and frail older people to maintain their independence. The government has in recent years introduced initiatives to help older and disabled people to access relatively low-cost simple aids to daily living by establishing new models of assessment and delivery.⁸ Recent research has suggested that assistive equipment and adaptations can have significant benefits in terms of improving individuals' quality of life and reducing the demand for health and social care services.⁹

1.2.2 Sample size

Data collection involved an interview, followed by a visit from a specially trained nurse for all those who agreed. The nurse visit included measurements and collection of blood and saliva samples, as well as additional questions.

A total of 8,795 adults and 2,185 children were interviewed. A household response rate of 64% was achieved. 6,183 adults and 1,455 children had a nurse visit. It should be noted that, as in 2011 and 2012, there was no child boost sample in 2013. Thus the scope for analyses of some data for children may be limited by relatively small sample sizes.

1.3 Ethical approval

Ethical approval for the 2013 survey was obtained from the Oxford A Research Ethics Committee (reference number 12/SC/0317).

1.4 2013 survey design

1.4.1 The sample

The survey was designed to yield a representative sample of the general population living in private households in England. More detailed information about survey design is presented in Volume 2 of this report, Chapters 2-7.

Those living in institutions were outside the scope of the survey. This should be borne in mind when considering survey findings since the institutional population is likely to be older and, on average, less healthy than those living in private households.

A random sample of 9,408 addresses was selected from the Postcode Address File (PAF), using a multi-stage sample design with appropriate stratification. One stratification variable was region (former Government Office Region). Addresses in smaller regions (North East and East Midlands) were over-sampled to provide a minimum sample size (of approximately 700 adults) in each region to provide a robust sample size for regional analyses. 588 postcode sectors were selected, and 16 addresses were issued in each.

Where an address was found to have multiple dwelling units, one was selected at random. Where there were multiple households at a dwelling unit, a single household was selected at random.

Each individual within a selected household was eligible for inclusion. Where there were more than two children in a household, two were randomly selected for inclusion, to limit the burden on any household.

Addresses were issued over 12 months from January to December 2013, and fieldwork was completed in early March 2014.

1.4.2 Fieldwork

Interview

A letter stating the purpose of the survey was sent to each sampled address before the interviewer visited. The interviewer sought the permission of each eligible selected adult in the household to be interviewed, and both parents' and children's consent to interview selected children aged up to 15.

Computer assisted interviews were conducted. The content of the interview is detailed in Volume 2, Chapter 3; full documentation is provided in the Appendices to Volume 2.

Adults were asked core modules of questions on general health, social care, fruit and vegetable consumption, alcohol consumption and smoking. Older participants (aged 65 and over) were also asked extended questions about social care. Additional questions were included on eyesight, end of life care, and regular drinking to supplement core questions about the heaviest drinking day in the last week and frequency of alcohol consumption. Self-reported height and weight was established early in the interview, to provide a comparison with the height and weight measurements which were taken later.

Children aged 13-15 were interviewed themselves, and parents of children aged 0-12 were asked about their children, with the interview including questions on general health and fruit and vegetable consumption.

Adults and children aged 8-15 were asked to fill in a self-completion booklet during the interview. For adults this covered the Warwick-Edinburgh mental well-being scale (WEMWBS), short International Physical Activity Questionnaire (IPAQ), perception of own

and (if appropriate) child's weight, sexual orientation and religion. For children and some young adults details of drinking and smoking were also collected by self-completion.

Interviewers measured the weight of all participants, and the height of all aged 2 and over.

Change to longstanding illness questions

In 2012, the questions on longstanding illness were changed to be consistent with the harmonised disability questions designed for use in social surveys, as recommended by the Disability, Health and Carers Primary Standards in 2011.¹⁰ The new questions meet government requirements for the classification of disability for the core population with rights under the Equality Act. These questions explicitly ask about physical and mental health, separate the concept of disability from illnesses or health conditions, and refer to illnesses or conditions 'lasting or expected to last 12 months or more' rather than 'over a period of time'. Further details are provided in Volume 2, Chapter 3, Section 3.4 and in Appendix D to Volume 2.

To maintain comparability with a key measure in the HSE and to provide important healthrelated information that is much used in secondary analyses, one of the original questions has been retained, asking about exactly what illnesses and conditions people have, though this is not part of the new harmonised suite of questions.

Nurse visit

Nurse visits were offered to all participants. At the nurse visit, questions were asked about prescribed medication and use of nicotine replacement products. For infants, additional information was collected on immunisations and measurements at birth.

Nurses took waist and hip measurements for those aged 11 and over and measured the blood pressure of those aged 5 and over.

Adults aged 16 and over were asked to provide non-fasting blood samples¹¹ for the analysis of HDL and total cholesterol and glycated haemoglobin, and saliva samples for the analysis of cotinine, a derivative of nicotine. Samples of saliva were also taken from children aged 4-15 for the analysis of cotinine. Written consent was obtained for these samples. Details of the analysis of these samples are provided in Volume 2, Chapter 9.

Interview length

Interviews could be conducted with between one and four persons per session; the most common session types were with one or two individuals. Interview length for a single adult averaged around 50 minutes, and for two people (including at least one adult) interview length averaged around 60-65 minutes. Nurse visits were conducted with a single individual at a time, and the nurse visit for adults who took part in all the measurements averaged 30 minutes.

Interviews with children were shorter than with adults, and the interview length varied with age as some modules were only asked of older children. When children were interviewed without adults, the average interview length was around 10-15 minutes for a single child aged 8-15, and around 20 minutes for two children of this age.

Obtaining informed consent

It is important to ensure that participants aged 16 and over give informed consent for all stages of the interview and nurse visit process. For some elements of the survey, verbal consent was sought: for taking part in the survey at all, for answering modules of questions (and any individual question), for completing the self-completion booklet, and for measurements such as height, weight, blood pressure and waist and hip. Verbal consent was not recorded; it is assumed that those who took part in the survey, and answered individual questions or provided physical measurements had consented to do so. A proportion of participants did decline to take part in some of these survey elements, although they had consented to take part in the study and complete other elements.

Written consent was required for:

- taking biological measurements (blood and saliva samples)
- passing on information to others, for instance sending biological sample results to the participant's GP
- storing blood samples for future use
- using personal details for matching to administrative data.

1.5 Survey response

Interviews were held in 5,416 households with 8,795 adults aged 16 and over, and 2,185 children aged 0-15. 6,183 adults and 1,455 children had a nurse visit. More detailed information on survey response can be found in Volume 2, Chapter 6.

Response to the survey can be calculated in two ways: at a household level and at an individual level. Interviews were carried out at 64% of sampled eligible households (after removing vacant addresses etc). Interviews were obtained with 87% of adults and 93% of (sampled) children in 'co-operating' households (where at least one person was interviewed).

The assumption is made that households where the number of adults and children was not known contained, on average, the same number of adults and children as households where it was known. On this basis, the individual response rate, based on all eligible households, was estimated to be 58% among adults and 62% among (sampled) children.

Table 1A shows individual response rates to the different stages of the survey for adults. The first column gives the individual response rates based on all adults in all eligible households, and the second column gives individual response rates for adults in co-operating households.

Table 1A			
Individual response for adults aged 16 and over			
	Adults in all eligible house- holds	Adults in co- operating house- holds	
	%	%	
Interviewed	58	87	
Height measured	51	76	
Weight measured	49	74	
Saw a nurse	40	61	
Waist and hip measured	39	59	
Blood pressure measure	ed 40	60	
Gave blood sample	31	46	
Gave saliva sample	38	58	

Table 1B			
Individual response for children aged 0-15			
	Children in all eligible house- holds	Children in co- operating house- holds	
	%	%	
Interviewed	62	93	
Height measured (ageo 2 and over)	d 42	74	
Weight measured	48	73	
Saw a nurse	41	62	
Gave saliva sample (ag 4 and over)	ged n/a	52	
Blood pressure measu (aged 5 and over)	red n/a	56	
Waist and hip measure (aged 11 and over)	ed n/a	59	

Table 1B shows the equivalent response rates to the different stages of the survey for children, with response rates based on all children in all eligible households in the first column, and response rates in co-operating households in the second column. Note that it is not possible to estimate response rates based on all eligible children for saliva samples, waist and hip and blood pressure measurements, as only certain age groups are eligible for these.¹² The response rates for these measures in co-operating households are based on the appropriate age groups.

1.6 Data analysis

1.6.1 Introduction

The HSE is a cross-sectional survey of the population. It examines associations between health states, personal characteristics and behaviour. However, such associations do not necessarily imply causality. In particular, associations between current health states and current behaviour need careful interpretation, as current health may reflect past, rather than present, behaviour. Similarly, current behaviour may be influenced by advice or treatment for particular health conditions.

1.6.2 Weighting the samples

The overall sample

Weights were calculated at the household level and at the individual participant level. The household weight corrected for the probability of selection where additional dwelling units or households were identified at a selected address. Calibration weighting was also used for adults to reduce non-response bias resulting from differential non-response at the household level, based on the age and sex profile of the residents and the region in which the household was situated. In participating households 87% of adults were interviewed, and weights were therefore also calculated at an individual level to correct for non-response within participating households.

Weights for the child sample adjust for the probability of selection for children in larger households, and ensure that the profile of children selected for the survey matches the profile of all children. As the level of response for obtaining a child interview in participating households was relatively high (93%), no additional non-response weighting was undertaken for the sample of children.

A final interview weight was created, combining the adult and child weights.

Non-response weighting for the nurse visit and samples

Further weights were calculated, as well as weights to allow for non-response at the interview stage. These were to adjust for non-response to the nurse visit, and for obtaining a blood or saliva sample.

Further details on the weighting procedures are given in Volume 2, Chapter 7.

1.6.3 Weighted and unweighted data and bases in report tables

All 2013 data in this report are weighted (apart from response tables). Both weighted and unweighted bases are given in each table in the report. The unweighted bases show the number of participants involved. The weighted bases show the relative sizes of the various sample elements after weighting, reflecting their proportions in the population in England, so that data from different columns can be combined in their correct proportions.

Non-response weighting was introduced to the HSE in 2003, and has been used in all subsequent years. In this report, chapters focus on 2013 results, but in a small number of chapters trend tables are presented. In tables for adults, results from 2003 onwards are weighted. For tables showing trends in children's data, results for years up to and including 2002 have selection weighting only, and results for 2003 onwards have both selection and non-response weighting.

1.6.4 Age as an analysis variable

Age is a continuous variable but results are presented in this report by age groups. Age in HSE reports always refers to age at last birthday.

1.6.5 Age standardisation

Adult data have been age-standardised throughout the 2013 report to allow comparisons between groups after adjusting for the effects of any differences in their age distributions. When different sub-groups are compared in respect of a variable on which age has an important influence, any differences in age distributions between these sub-groups are likely to affect the observed differences in the proportions of interest.

It should be noted that all analyses in the report are presented separately for men and women. All age standardisation has been undertaken separately within each sex, expressing male data to the overall male population and female data to the overall female population. When comparing data for the two sexes, it should be remembered that no age standardisation has been introduced to remove the effects of the sexes' different age distributions.

Details of the direct standardisation method used are given in Volume 2, Chapter 8.

1.6.6 Standard analysis breakdowns

For most tables in this report, three standard analysis breakdowns have been used as well as age. These are region, equivalised household income and Index of Multiple Deprivation.

Region

Analysis by region is provided throughout the report. The former Government Office Regions have been used.

Both observed and age-standardised data are provided by region in the tables. Observed data can be used to examine actual prevalence or mean values within a region, needed, for example, for planning services. Age-standardised data are required for comparisons between areas to exclude age-related effects, and are discussed in the report text.

It should be noted that base sizes for regions are often relatively small, and caution should be exercised in examining regional differences. In 2013, the smaller regions (the North East, East Midlands) were over-sampled to provide a minimum unweighted sample size of approximately 700 adults; the weighting process adjusted for this.

Equivalised household income

The second standard breakdown is equivalised household income. Household income was established by means of a show card (see field documents in Volume 2, Appendix A) on which banded incomes were presented. This can be used as an analysis variable, but there has been increasing interest recently in using measures of equivalised income that adjust income to take account of the number of persons in the household. To derive this, each household member is given a score. For adults, this is based on the number of adults apart from the household reference person, and for dependent children, it is based on their age. The total household income is divided by the sum of the scores to provide the measure of equivalised household income. All individuals in each household were allocated to the equivalised household income quintile to which their household had been allocated.

It should be noted that around 19% of adults live in households where no information is provided on income, and are therefore excluded from the breakdown by equivalised household income.

Further details about equivalised household income are given in the Glossary in Volume 2, Appendix C.

Index of Multiple Deprivation

The Index of Multiple Deprivation 2010 combines a number of indicators, chosen to cover a range of economic, social and housing issues, into a single deprivation score for each small area in England. This allows each area to be ranked relative to others according to their level of deprivation.¹³ Seven distinct domains have been identified in the English Indices of Deprivation:

17

- Income Deprivation
- Employment Deprivation
- · Health Deprivation and Disability
- Education Skills and Training Deprivation
- Barriers to Housing and Services
- Living Environment Deprivation
- Crime.

Individual domains can be used in isolation as measures of each specific form of deprivation, as well as using the single overall Index of Multiple Deprivation (IMD).¹⁴

The Index is used widely to analyse patterns of deprivation, identify areas that would benefit from special initiatives or programmes and as a tool to determine eligibility for specific funding streams. In this report quintiles of IMD are used to give an area-level measure of socioeconomic status, as opposed to the household-level measure of equivalised household income.

1.6.7 Significance testing

Significance testing is carried out on the results in the 2013 report. The term 'significant' refers to statistical significance at the 95% level and is not intended to imply substantive importance.

Significance tests are carried out in order to test the relationship between variables in a cross tabulation, usually an outcome variable nested within sex, cross-tabulated with an explanatory variable such as age (in categories), income groups or region. The test is for the main effects only (using a Wald test¹⁵). For example the test might examine whether there is a statistically significant relationship between smoking prevalence and age (after controlling for sex) and between smoking prevalence and sex (after controlling for age).

It is worth noting that the test does not establish whether there is a statistically significant difference between any particular pair of subgroups (e.g. the highest and lowest subgroups). Rather it seeks to establish whether the variation in the outcome between groups that is observed could have happened by chance or whether it is likely to reflect some 'real' differences in the population.

A p-value is the probability of the observed result occurring due to chance alone. A p-value of less than 5% is conventionally taken to indicate a statistically significant result (p<0.05). It should be noted that the p-value is dependent on the sample size, so that with large samples differences or associations which are very small may still be statistically significant.

Using this method of statistical testing, differences which are significant at the 5% level indicate that there is sufficient evidence in the data to suggest that the differences in the sample reflect a true difference in the population.

A second test of significance looks at the interaction between sex and the variable under consideration. If the interaction is statistically significant (p<0.05) this indicates that there is likely to be an underlying difference in the pattern of results for men and women, and this will normally be commented on in the report text.

1.6.8 Logistic regression analysis

Logistic regression modelling has been used in some chapters to examine the factors associated with selected outcome variables, after adjusting for other predictors. A description of logistic regression is provided in Volume 2, Chapter 8, Section 8.7, as well as in the relevant chapters.

1.6.9 Statistical information

The HSE 2013 used a clustered, stratified multi-stage sample design. In addition, weights were applied when obtaining survey estimates. One of the effects of using the complex design and weighting is that standard errors for survey estimates are generally higher than the

standard errors that would be derived from an unweighted simple random sample of the same size. The calculations of standard errors shown in tables, and comments on statistical significance throughout the report, have taken the clustering, stratification and weighting into account. Full details of the sample design and weighting are given in Volume 2, Methods and documentation.

The ratio of the standard error of the complex sample to that of a simple random sample of the same size is known as the design factor. Put another way, the design factor (or 'deft') is the factor by which the standard error of an estimate from a simple random sample has to be multiplied to give the true standard error of the complex design. The true standard errors and defts for the HSE 2013 have been calculated for selected survey estimates presented in the topic chapters, and are shown in Volume 2, Tables 15-28.

1.6.10 Presentation of results

Commentary in the report highlights differences that are statistically significant at the 95% level. It should be noted that statistical significance is not intended to imply substantive importance.

A summary of findings is presented at the beginning of each chapter. Following the chapter introduction and details of methods and definitions, the results are outlined and illustrated in graphs; a discussion section at the end of most chapters makes comparisons with other data sources and trend data, and sets the results in a broader context. Tables at the end show the results discussed in the chapter, and as well as prevalence percentages, means and standard errors are presented when appropriate.

1.6.11 Availability of further data

As with surveys from previous years, a copy of the HSE 2013 data will be deposited with the UK Data Service. Copies of anonymised data files can be made available for specific research projects through the UK Data Service.¹⁶

In addition, trend tables showing data for key variables collected every year ('core' modules) for adults and children are available on the Health and Social Care Information Centre's website.¹⁷

1.7 Content of this report

This volume contains chapters with substantive results from the HSE 2013, and is one of two volumes based on the survey, published as a set as the *Health Survey for England 2013*: 1. Volume 1: Health, social care and lifestyles¹⁸ 2. Volume 2: Methods and documentation¹⁹

Volume 2 gives full details of the survey methods and documentation. This includes a description of the survey design and response rates; sampling errors; analysis of non-response; description of weighting procedures; and information on laboratory techniques and quality control of analysis of blood and saliva samples. Appendices to Volume 2 are as follows:

Appendix A: Questions asked by interviewers and nurses and copies of other key fieldwork documents

- Appendix B: Protocols for measurements
- Appendix C: Glossary
- Appendix D: Previous and new questions on longstanding illnesses/conditions.

References and notes

- 1 Adult Social Care Team. *Survey of Carers in Households 2009/10*. Health and Social Care Information Centre, Leeds, 2010. http://www.hscic.gov.uk/pubs/carersurvey0910
- 2 Fenton W. The size and structure of the adult social care sector and workforce in England, 2011. Skills for Care, Leeds, 2011.
- 3 Health and Social Care Information Centre. Community Care Statistics: Social Services Activity, England -2011-12- Final Release. HSCIC, Leeds, 2013. www.hscic.gov.uk/catalogue/PUB10291
- 4 Laing & Buisson. Domiciliary Care UK Market Report 2013. Laing & Buisson, London, 2013. www.laingbuisson.co.uk/MarketReports/LatestMarketReports/tabid/570/ProductID/564/Default.aspx
- 5 Department of Health. A vision for adult social care: Capable communities and active citizens. DH, London, 2010.

 $http://webarchive.nationalarchives.gov.uk/+/www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_121508$

- 6 HM Government. *Caring for our future: reforming care and support*. Cm 8378, London, 2012. https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/136422/White-Paper-Caring-for-our-future-reforming-care-and-support-PDF-1580K.pdf
- 7 Commission on Funding of Care and Support. *Fairer Care Funding. The Report of the Commission on Funding of Care and Support*. London, 2011. www.dilnotcommission.dh.gov.uk/our-report/
- 8 Tucker S, Brand C et al. An evaluation of the use of self-assessment for the provision of community equipment and adaptations in English local authorities. British Journal of Occupational Therapy 2011;**74**(3):119-128.
- 9 Snell T, Fernandez JL et al. *Building a business case for investing in adaptive technologies in England*. Personal Social Services Unit, London, 2012.
- 10 Office for National Statistics. Harmonised Concepts and Questions for Social Data Sources: Primary Standards. Long-lasting Health Conditions and Illnesses; Impairments and Disability. ONS, Newport, 2011. www.ons.gov.uk/ons/guide-method/harmonisation/primary-set-of-harmonised-concepts-andquestions/index.html
- 11 For some blood sample analyses it is necessary for participants to fast for a period before the sample is taken as the composition of the blood sample is affected by recent intake of food or drink. However, for the analytes in the HSE, 'non-fasting' blood samples can be used and participants do not have to fast before the nurse visit.
- 12 The base for all eligible children has to be estimated from information gathered as interviewers attempt to make contact with each selected household. There are some households where no information can be obtained, and assumptions have to be made to estimate the total number of eligible people living in them, to provide a denominator to calculate the overall response rate. While further assumptions can be made about the sex breakdown of adults and children in such households, no attempt has been made to estimate the age profiles. See Volume 2, Chapter 6, sections 6.3.1 and 6.4.1 for more details of how the overall response rate is calculated.
- 13 McLennan D, Barnes H, Noble M et al. *The English Indices of Deprivation 2010*. Department for Communities and Local Government, London, 2011.
- 14 See McLennan D et al, 2011, (reference 14); Chapter 3.
- 15 The Wald test is statistical test used to calculate the significance of parameters in a statistical model. The Wald test is used in analysis of HSE data in this report to establish whether the association amongst particular variables is statistically significant. For example the test might help to establish whether there is a statistically significant relationship between smoking prevalence and age (after controlling for sex) and between smoking prevalence and sex (after controlling for age). The test calculates the statistical significance of parameters in a logistic regression model of smoking prevalence in order to establish whether age and sex are significantly associated with smoking prevalence.
- 16 http://discover.ukdataservice.ac.uk/series/?sn=2000021
- 17 www.hscic.gov.uk/pubs/hse2013trend
- 18 Craig R, Mindell J (eds). Health Survey for England 2013. Volume 1: Health, social care and lifestyles. Health and Social Care Information Centre, Leeds, 2014. www.hscic.gov.uk/pubs/hse2013
- 19 Craig R, Mindell J (eds). Health Survey for England 2013. Volume 2: Methods and documentation. Health and Social Care Information Centre, Leeds, 2014. www.hscic.gov.uk/pubs/hse2013

NatCen Social Research

www.natcen.ac.uk

NatCen Social Research is the largest independent social research institute in Britain, carrying out research that works for society. NatCen specialises in research in public policy fields such as health and well-being, society and social change, children and young people, income and work, crime and justice. We offer the full range of quantitative and qualitative research services. Our team includes survey methodologists, data analysts and policy sector specialists. As well as research staff, NatCen has a national panel of over 1,000 interviewers and 100 nurses who work on health-related surveys.

Research Department of Epidemiology and Public Health, UCL

The Research Department of Epidemiology and Public Health, chaired by Professor Richard Watt, is a leading centre for research into the social determinants of health, and has a strong interdisciplinary structure. The Department houses 180 staff in 11 main research groups, including the Joint Health Surveys Unit, part of the Health and Social Surveys Research Group (HSSRG). The department studies population health (including health behaviours and treatments) and inequalities in health. Much of the HSSRG's research is carried out using large population surveys that collect data on health, economic and social issues, using a variety of survey methods and statistical techniques, while qualitative methods are also used by the group. The group is multidisciplinary, with epidemiology, sociology, statistics, public health, demography and geography all represented.

The **Joint Health Surveys Unit** has been created by NatCen Social Research and the Health and Social Surveys Research Group within the Research Department of Epidemiology and Public Health at UCL. The JHSU enables collaborative working, combining the strengths and talents of each organisation, to carry out major health surveys such as the Health Survey for England.

